



INTERNAL AUDIT WORK PROGRAMME 2022-23

1. Introduction

As part of the annual internal audit plan, an audit review of Partneriaeth will be undertaken. The Internal Audit work programme for 2022-23 has been prepared in accordance with the requirements of the Public Sector Internal Audit Standards.

2. Objectives

- To provide assurance to the Joint Committee that the Partneriaeth partnership has adequate governance, internal control, financial management and risk management arrangements in place for, which are operating effectively.
- To identify areas of weakness and risk, good practice and opportunity.

3. Scope

The review will cover the following key areas:

- Governance arrangements, including Legal Agreement, Code of Corporate Governance, Roles and Responsibilities, Decision Making Arrangements, Register of Business Interests and Working Arrangements with Authorities who exited the partnership;
- Business Plan, including approval and implementation, costing, monitoring, value for money and progress reporting;
- Financial management arrangements, including funding arrangements, budgetary setting and control, financial control arrangements and grant funding arrangements;
- Risk management arrangements, including risk register development, control and monitoring, and compliance with UKGDPR and the Data Protection Act.

4. Audit Approach

The audit will involve:

- Interviews with the relevant officers
- Examining relevant documents
- Recording systems in operation
- Evaluation of the adequacy of internal controls
- Compliance and substantive testing
- Reporting to management and making recommendations where appropriate

5. Reporting Arrangements

The draft report will be issued to the Partneriaeth Lead Officer, the Lead Chief Executive, the Lead Education Director, the Section 151 Officer and the Monitoring Officer. Discussions will then take place to agree the report and any action in respect of any recommendations for improvement that may be made. Upon approval of the draft report, the final report will be issued to the Partneriaeth Lead Officer, the Lead Chief Executive, the Lead Education Director, the Section 151 Officer, the Monitoring Officer and the Joint Committee.

Matthew Holder

Partneriaeth Head of Internal Audit

Date: 03 February 2023